

**INTRODUCTION**

The 1982-83 and 1997-98 El Niño brought very high precipitation to California's central coast; this precipitation resulted in raised groundwater levels, coastal flooding, and destabilized lands along the coast. Large landslides in the coastal mountains of Big Sur in Monterey and San Luis Obispo Counties blocked sections of California State Route 1 (hereinafter, Coastal State Route 1), closing the road for months at a time. Large landslides such as these occur frequently in the winter months along the Big Sur coast due to the steep topography and weak bedrock. A large landslide in 1983 resulted in the closure of Highway 1 for over two years to repair the road and stabilize the slope. Restoring work from the 1983 landslide cost over \$7 million and generated excavations to establish the highway along the Big Sur coast (Engelmer, 1984).

Before establishment of the Monterey Bay National Marine Sanctuary (MBNMS) in 1992, typical road opening measures involved disposal of some landslide material and excess material generated from slope stabilization on the seaward side of the highway. It is likely that some or most of this disposed material, either directly or indirectly through subsequent erosion, was eventually transported downslope into the ocean. In addition to the landslides that initiate above the road, natural slope failures sometimes occur on the steep slopes below the road and thus deliver material to the base of the coastal mountains where it is eroded and dispersed by waves and nearshore currents. Any coastal slope landslide, generated through natural or anthropogenic processes, can result in sediment entering the nearshore zone. The waves offshore of the Big Sur coast are part of the Monterey Bay Current. Since it is established in 1992, landslide disposal practices came under scrutiny. The U.S. Code of Federal Regulations, Title 15, Section 922.132 prohibits discharging or depositing, from beyond the boundary of the Sanctuary, any material or other matter that subsequently enters the Sanctuary. This study is a direct result of the need identified by Caltrans and MBNMS staff of a fundamental lack of data on background sediment volumes entering the MBNMS from coastal landslides.

**PURPOSE**  
The purpose of this study is to quantify the long-term volume of sediment entering the nearshore zone from coastal mass wasting, including landslides and cliff erosion, along the approximately 120-km-long Big Sur coast from the Carmel River to San Carpoforo Creek (fig. 1). The geographic limits of the study correspond to that part of the coast with the steepest slopes of the Santa Lucia Range descended uninterrupted to the Pacific Ocean along the Big Sur coast. The primary goals of the research are to quantify the volume of sediment that enters MBNMS through coastal landslides processes using historical and recent aerial stereo photography, map the temporal and spatial variations in landslide distribution along the coast, and to relate volume losses to the complex geology of the region to document the geologic controls on sediment yield from coastal landslides. The Coast Highway Management Plan (CHMP) was established with the intention of developing highway management approaches and solutions collaboratively with the MBNMS. This study is a direct result of the need identified by Caltrans and MBNMS staff of a fundamental lack of data on background sediment volumes entering the MBNMS from coastal landslides.

**STUDY AREA**  
The Big Sur coast lies on the west boundary of the Coast Ranges, a northwest-trending series of mountains and valleys flanking the coast from Santa Barbara, California, to the Oregon border. In the Big Sur area, the Santa Lucia Range reaches elevations of nearly 1,600 m within 5 km of the coast, making it one of the steepest coastal slopes in the continental United States.

**GEOLOGIC SETTING**  
The rocks along the Big Sur coast are a complex mixture of sheared and metamorphosed sedimentary and igneous rocks of the Late Jurassic to Miocene Franciscan Complex, and Mesozoic plutonic and metamorphic rocks of the Sur Complex of Hall (1991). Maps

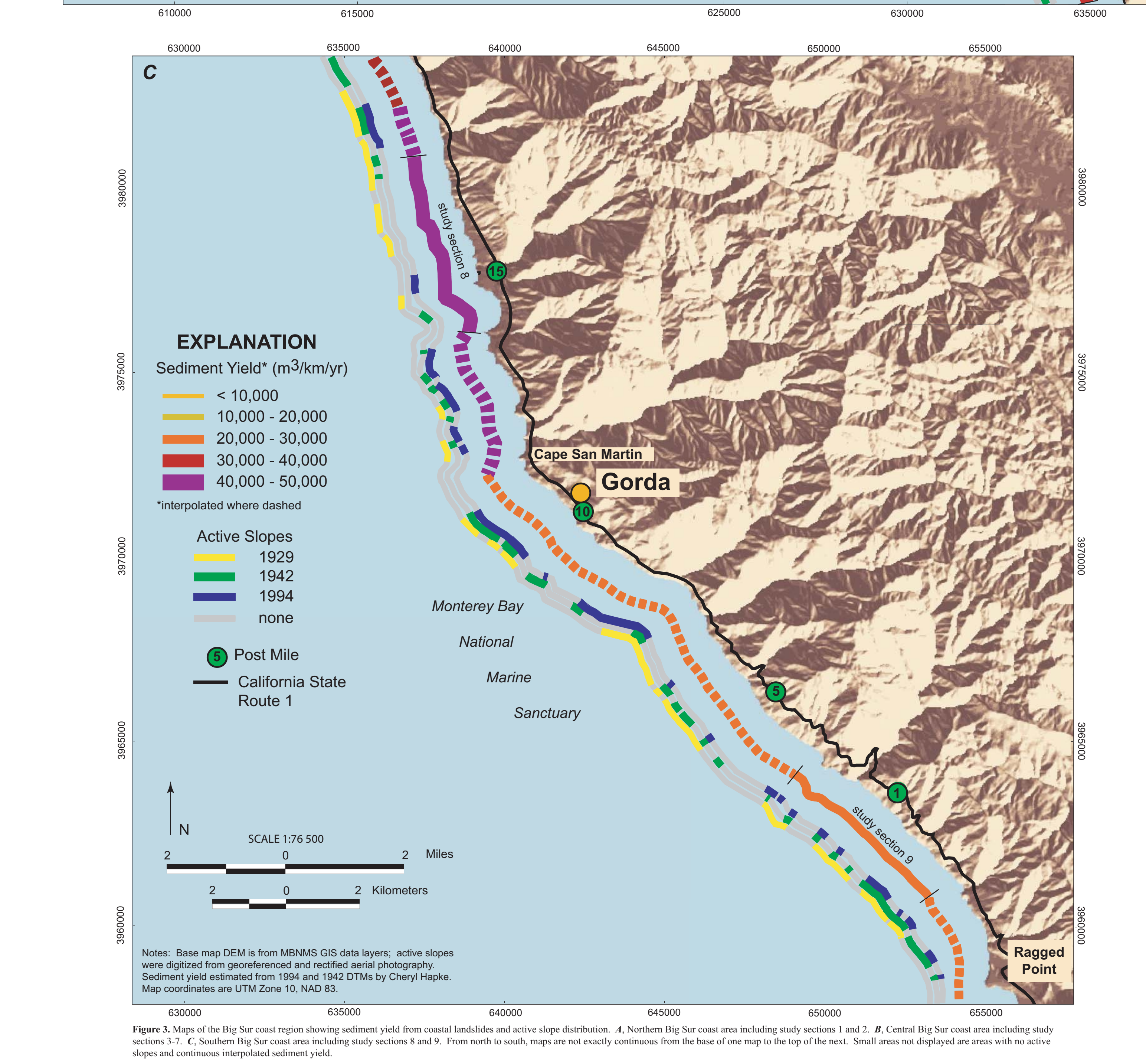
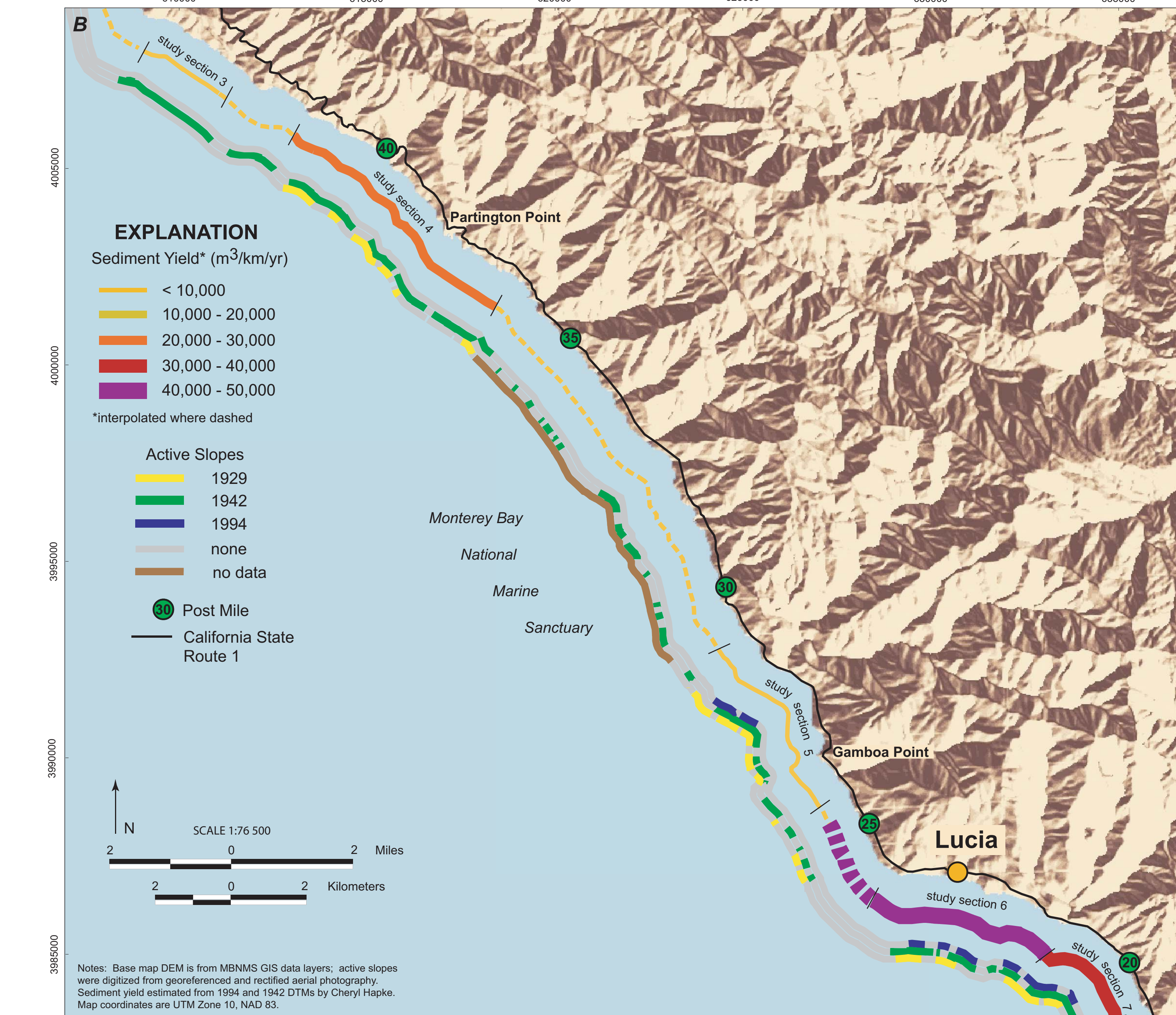
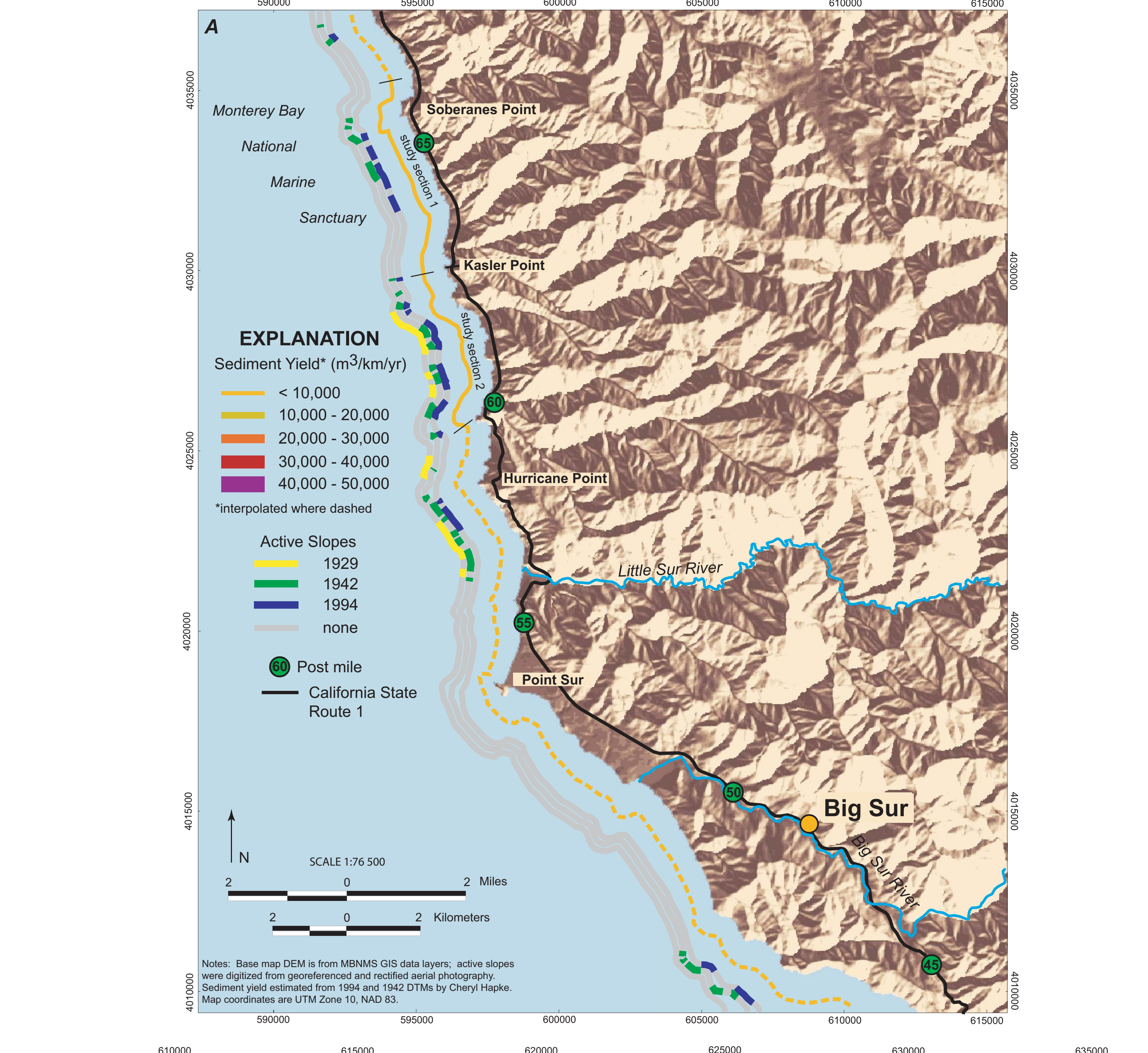
featuring these units and references to additional studies can be found in reports by Dibblee (1974), Ross (1976), and Hall (1991). The Franciscan Complex crops out along much of the California coast, and it recedes a period of time when the active plate boundary between the North American and Pacific Plates was convergent rather than strike-slip. The rocks of the Franciscan Complex are probably the remains of an ancient accretionary wedge that formed when oceanic plate material and overlying oceanic sediments were scraped off the Farallon Plate as it subducted beneath the North American Plate (Blake and others, 1988). These rocks were subsequently transported northward along the San Andreas Fault to their present position. The rock units of the Franciscan Complex rock types exposed along the Big Sur coast include metacarbonate rocks (greenstone, serpentinite, and interbedded, highly sheared argillite and gneiss) (Blake and others, 1984). The 100 to 700-Ma plutonic rocks of the Sur Complex of Hall (1991) (James and Mattinson, 1988) form the core of the Salinian block, which is bounded on the east side by the San Andreas Fault, and on the west by the San Narciso Fault. The granitic and metamorphic rocks of the Sur Complex of Hall (1991) are also present in Nevada range that has been transported along the San Andreas Fault to its present position in central California (Page, 1982). The Sur Complex of Hall (1991) rocks crop out along the Big Sur coast (table 1, on the basis of those individual sections of coast along which the aerial photography could successfully be orthorectified, and terrain data derived from the analysis. These nine study sections are within both Franciscan Complex and Sur Complex of Hall (1991) rocks (table 1, fig. 2). The Salinian and Franciscan bedrock is overlain in many areas by a relatively thick blanket of debris composed of poorly bedded silts, sands, and beds of angular cobbles and boulders; much of the original bedrock geology is also obscured by numerous landslide deposits (Hall, 1991; Wills and others, 2001).

The rocks of the Franciscan Complex tend to be weaker than those of the Sur Complex of Hall (1991), the majority of the recurring landslides occur where Franciscan Complex rocks underlie the steep slopes. However, the lithology within the Franciscan Complex varies dramatically, and the water, highly sheared rocks and melange are more prone to landsliding whereas the various sedimentary strata and volcanic rocks form more stable slopes.

**CLIMATE AND WAVES**  
The Big Sur region, like much of the central California coast, is marked by most precipitation falling in the winter months and mild temperatures throughout the year. The weather in the region is predominantly controlled by the North Pacific High. Its presence during the summer produces dry westerly winds and upwelling of fog-producing cold ocean water, and its absence in the winter results in high rainfall concentrated in a period between October and May (Gillies, 1962). Rainfall amounts vary with elevation. Lower slopes near the coast may receive less than half the rainfall that falls near the top of the mountains. The average annual rainfall near the town of Big Sur from 1914 to 1987 was 109 cm; it is estimated that approximately 230 cm falls higher on the slopes (Henson and Usher, 1993).

Much of the Big Sur coast is directly exposed to Pacific storms. Waves reach the base of the coastal slope along the entire coastline except where a few larger pocket beaches have formed. The continental shelf along much of the Big Sur coast is considerably narrower than the shelf off the coast to the south. The shelf width ranges from approximately 12 km at Point Sur to less than 5 km for most of the Big Sur coastline (California Coastal Commission, 1987). The steepness of steeping along the Big Sur coast as a result, there may be less dissipation of deep-wave energy as waves travel across the shelf (Korn, 1996), and if this assumption is true, waves meet the coast with higher energy than where the shelf is wider and more gently sloping.

For most of the year, waves along the part of the coast are from the northwest. Data from the National Oceanic and Atmospheric Administration National Data Buoy Center show average significant wave heights that range from 1.3 to 2.1 m in the winter months of January and February. Wave periods in the winter correspond to that part of the coast with the steepest slopes, with a diurnal range of 1.7 m (California Coastal Commission, 1987).



**Figure 1.** Map showing location of Monterey Bay National Marine Sanctuary and the Big Sur coast in central California. Red rectangles labeled 3A, 3B, and 3C depict the map areas shown in figures 3A-3C.

**Figure 2.** Simplified geologic map of Big Sur coast area showing general lithologies exposed along the coast. Numbers 1-9 represent the sections of the study area, which were chosen based on geologic significance and where data could be accurately derived. Modified from Wills and others, 2001.

**Table 1.** Geologic units found in each of the nine study sections near Big Sur, California (from mapping by Wills and others, 2001)

Study Section No.	Post Mile secondary (ft)	Description**
1	63.1-66.0	I. QdF Cretaceous hornblende-biotite quartz diorite-melting to dark gray coarse-grained. Debris-fan deposits; nearly continuous and overlapping.
2	59.5-63.0	I. Kqf I. KMet I. QdF Cretaceous hornblende-biotite quartz diorite. Chloritic tonalite-dark greenish gray, coarse-grained, very fractured and sheared. Debris fan deposits; nearly continuous and overlapping.
3	45.6-46.6	I. KJf II. Qs Undifferentiated Franciscan Complex. Landslide deposits; discontinuous.
4	36.8-41.5	I. KMet II. Qs Charnockitic tonalite. Landslide deposits; discontinuous.
5	26.0-29.2	I. KJfMv II. Qs Franciscan Complex metacarbonates. Landslide deposits; continuous and overlapping.
6	21.3-24.1	I. KJfMv II. Qs Franciscan Complex metacarbonate rocks-fine-grained, hard, metamorphosed basalt; occur as blocks in melange or landslide deposits.
7	19.4-21.2	I. KJfMv II. Qs Franciscan Complex metacarbonate rocks. Landslide deposits; continuous and overlapping.
8	14.0-17.4	I. KJfMv II. Qs Franciscan Complex metacarbonate rocks. Franciscan Complex graywacke-fine-grained to medium-grained sandstone; occurs interbedded with highly sheared argillite.
9	7.3 (M3)LO-1 3.5 (M3)ON	I. KJfMv II. Qs Franciscan Complex graywacke. Franciscan Complex serpentinite-gray to green highly sheared and foliated. Debris fan deposits; continuous marine-terrestrial deposits (landslide deposits); discontinuous.

\*Post miles are for Monterey County (MON) unless otherwise noted; SLO, San Luis Obispo County.  
\*\*A rock type is described only the first time it is listed in the table.

**METHODS**  
The primary tools used in this study are digital photogrammetry and geographic information systems (GIS). Digital photogrammetry involves the production of orthorectified digital images from which all displacements have been removed and DTMs. To create terrain orthophotographs, displacements inherent in uncorrected photogrammetry must be accounted for by measuring the displacement of the camera system by incorporating ground control points into the photogrammetry process. The ground control points are measured from the terrain and are used to correct for distortions in the images. The corrected images are then used to produce digital terrain models (DTMs) from 3-D stereo models. These time-series DTMs are brought into a GIS where volume change is calculated. The distribution of the terrain changes can be analyzed and compared to the local geology. The historical aerial photography used in this study are from 1942 (1:30,000), and the recent photographs are from 1994 (1:24,000). These photographs provide the basis for determining the change in the terrain. The length of time between the two photographs provides the longest possible time period for the long-term rate calculation, and their availability as stereo film positives (diapositives) minimized non-systematic errors.

**ACTIVE SLOPE DISTRIBUTION**  
Digital orthorectified images from 1942 and georeferenced imagery of the Big Sur coast from 1929 were used to map the distribution of active slopes for each date. Active slopes were identified by areas showing evidence of recent disturbance, such as complex lack of vegetation and clear landslide scars (see sample, headscars). These areas correspond in part to the historical landslides mapped by Wills and others (2001). However, individual active slope delineations do not necessarily define the entire extent of a particular disturbance, rather only that portion of a landslide or cliff face that was active at the time the photographs were taken. The linear distribution of active slopes for each year is shown on figure 3 as strips parallel to the coastline. Each of the three active slope strips represents the coast parallel extent of areas where substantial active slopes were identified for a particular date of aerial photography.

From the temporal and spatial distribution of landslides, it is evident that much of this region was undergoing active slope failures during the construction of Coast Highway 1 in 1929, and in many places the slopes were again active in both 1942 and 1994. The most active area for all time periods for the Big Sur coast are the study sections shown on figure 3 that correspond well to the weaker rocks of the Franciscan Complex. The rocks within these sections are predominantly highly sheared and fractured. The Franciscan Complex and are substantially weaker than the granitic rocks of the Sur Complex of Hall (1991) and the less sheared rocks of the Franciscan Complex (1991).

**SUMMARY**  
Coast Highway 1 in Monterey and San Luis Obispo Counties extends along the rugged and remote Big Sur coast at the base of the Santa Lucia Range. The region is tectonically active and continued uplift has created one of the steepest coastal slopes in the contiguous United States. The steep slopes are, along much of the coast, formed in the very weak melange of the Franciscan Complex. In addition, this region experiences both high amounts of precipitation and high wave energy in the winter months. All these factors combine to produce an area of chronic landslides that regularly block, undermine, or damage this highway. Large volumes of material must be removed from the highway after a landslide, and additional material is frequently generated when the slopes are stabilized to prevent further damage to the highway. The water extending from the base of the slopes along the entire Big Sur coast is part of the MBNMS and it is against federal regulations to dump or dispose of any material into a national marine sanctuary owing to the possibility of negatively impacting the nearshore habitat.

The purpose of this study is to provide background information on the volumes of sediment and other material that historically enter the MBNMS along the Big Sur coast directly from coastal landslides and to map both the spatial and temporal distribution of areas of active input. Using digital stereo photogrammetry, terrain models were created by two dates spanning a 52-year time period. The volumes of sediment were then calculated by digitizing terrain models. A sediment yield (volume loss per linear extent of coast per year) was derived using this method for nine sections of coastline. The average sediment yield was 21,000 m<sup>3</sup>/km/yr with a range of 1,000 to 240 m<sup>3</sup>/km/yr in section 1 (fig. 3A) to a high of 46,700 to 7,300 m<sup>3</sup>/km/yr in section 8 (fig. 3C). The highest sediment yields are within the weaker metacarbonate rocks concentrated in the southern part of the study area, while the lowest sediment yields were within the stronger blocks located primarily in the northern part of the Big Sur coast. In the areas where the sediment yield could not be directly calculated, the yields were interpolated by correlating with the geology in areas where sediment yields were determined. The interpolated areas are shown as dashed lines on figure 3.

In addition to the sediment yield data for the coast, figures 3A-3C also show the distribution of active slopes for the coast. Using georeferenced photography from 1929 (which pre-dates the construction of the road) along with the photography from 1942 and 1994, a volumetric analysis, locations of active slopes were digitized in a GIS.

Figures 3A-3C show that both the locations of the active slopes, as identified in the three dates of photographs, and the higher sediment yields are associated with the weak rocks of the Franciscan Complex. These areas of Franciscan Complex rocks also correspond to areas of historical and dormant landslides mapped by Wills and others (2001). This pattern suggests that the locations and timing of the 1942 photographs were not significantly different from the 1929 photographs—rather it has been suggested for hundreds or even thousands of years. The technique of estimating the sediment yield from the coastal landslides provides the necessary background data to determine the average volumetric sediment input along the coast.

**ERROR ANALYSIS**  
Four primary sources of error were identified for the volume change and sediment yield estimates in this study. The errors include those associated with 1) the accuracy of the control points, 2) the accuracy of block models, 3) the vertical accuracy of the DTM, and 4) the accuracy of the images based on the pixel resolution. Control point errors are related to the accuracy of the original data source for the control. For the 1994 imagery, a 2-m y position error of 7 m are associated with the U.S. Geological Survey DGMs from which the ground-control points were identified. Accuracy standards for the original DEM data allow for vertical errors of approximately 7.1 m. However, the subsequent adjustment of the DEM using surveyed features, such as roads, within the coverage area resulted in a model with an accuracy on the order of 1 m, based on point comparisons of the DEM with lidar data that became available after the processing was completed. For the 1942 models, the control-point data were derived from the corresponding 1942 models. The control-point errors for the 1942 models is the total computed uncertainty associated with the 1994 models (E<sub>1</sub> and ranges from 9.2 to 11.0 m.

The source of the rectification error (E<sub>2</sub>) for both dates of imagery is the standard deviation of the control point error within each block from the photogrammetric processing (Slama, 1986; Wolf and Dewitt, 2000). For this study the value propagated through the uncertainty analysis is two standard deviations, which provides a 95% confidence level. This assumes that the errors are non-systematic and are normally distributed. The rectification error varies from model to model, and it is highly dependent on the amount, distribution, and quality of the ground control used in the rectification process.

The vertical accuracy of the DTM (E<sub>3</sub>) is a function of the scale of the photographs and hence the flying height and camera focal length of the images based on the pixel resolution. The DTM accuracy is estimated as 1/9000th of the flying height of the aircraft carrying the camera system (Munn, 2001). In this study, the flying height of the 1994 photographs was 4,000 m and the flying height of the 1942 photographs was 5,000 m resulting in vertical errors of 0.4 m and 0.5 m, respectively. In addition, Slama (2001) recommends applying an environmental factor (EF), ranging from one to five, to compensate for non-systematic errors in the model. Such non-systematic errors that could affect the vertical accuracy of the DTM include extreme relief, linear distribution of ground control,

model is rectified relative to the recent model; this improves the overall accuracy by allowing for a sufficient number and distribution of ground control points. Because the accuracy of the study was the change from one period to the next, the relative change between the two surface models accurately represents the differences.

A final step prior to exporting the orthophotographs and the DTMs to a GIS was to georeference the imagery. The georeferencing of each section under which the sediment volumes were calculated. This step was completed within the photogrammetry software so the 3-D viewing capabilities can be used to conduct detailed digitize polygons that accurately represent natural breaks in the terrain. Because this study was designed to determine the volumetric input to the nearshore habitat from coastal landslides, the polygons do not include any major drainages that extend upland beyond the first ridge crest. Furthermore, the polygon perimeter outlines topographic breaks that define the direct coastal slope, and the slope along which material in transport would most likely travel directly to the base of the slope and into an adjacent drainage. Because the determination of the polygons involves digitizing topographic breaks, stereo-viewing capabilities were essential.

Once the topographic surface model was generated, the data were exported from the photogrammetry software and into a GIS. The orthophotographs and delineated polygons generated for each study section are also brought into a GIS, and users including other existing data sets such as geologic maps and field data maps can be viewed and analyzed using geographic coordinates. GIS provides a number of tools that can be used to conduct detailed terrain analyses, including volume calculations, slope analyses, and contouring of various data sets.

The volume for each topographic surface model is calculated from the two dates, above a datum 1.0 m above mean sea level. The 1.0 m represents the lowest elevation from which photogrammetric stereo models can be confidently viewed without significant visual interference from the movement of waves on or over water and on the lower part of the beach (Hapke and Richmond, 2000). The volumes from the two dates of photographs are then subtracted and averaged over the polygon areas along each section of coast. This averaging smooths out the noise generated by localized volume gains in areas where movement on a specific slide has deposited material. Finally, the average value is divided by the total time between the two photographs (52 years). This provides an average volumetric loss rate for each section of coast along the length of each polygon.

In addition to the rate change determination, orthophotographs and georeferenced images were used in conjunction with descriptions of volumetric change to map locations and spatial distribution of historically active landslides. To supplement the 1942 and 1994 analysis of landslide distribution, a 1929 aerial photograph was obtained for the study area. Although they are not of sufficient quality to create stereo models, they were georeferenced using the 1994 orthophotographs for control. The distribution of active slopes was then digitized for three dates: pre-highway (1929), immediately post-highway (1942), and recent (1994). The active slopes were visually identified as areas of bare earth (vegetation-free).

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distortion of original film (shrinkage and stretching), lack of camera calibration information, and high radial distortion within the photographs. For the models developed during this study, an environmental factor of five was applied to the recent (1994) data on the basis of extreme relief and linear distribution of ground control. An environmental factor of five was estimated using the historical (1942) data because of the non-systematic errors affected the data. While the EF has not been rigorously tested, it provides a means of maximizing the DTM error when the environmental conditions are less than ideal. The DTM error (E<sub>3</sub>) component of the total error analysis is 0.7 m for the 1942 DTM and is 2.3 m for the 1942 DTM.

Finally, the pixel resolution of the scanned photographs is included in the error analysis; it represents the visual limitation of identifying an object (or location) that is smaller in dimension than the pixel size of the digital image. The pixel size of the 1994 images is 0.5 m and of the 1942 images is 0.7 m.

The total error associated with the model for each date is determined by the error analysis:

$$E_{total} = \sqrt{E_1^2 + E_2^2 + E_3^2 + E_4^2}$$

where E<sub>1</sub> is control-point error, E<sub>2</sub> is 2 times the standard deviation of the rectification error, E<sub>3</sub> is DTM error, E<sub>4</sub> is pixel resolution, and the subscript is a given time, or date, from which the data are derived.

The total model error is translated to an uncertainty in sediment volume (E<sub>4</sub>) by averaging the calculated error over the area within which the volume was calculated by:

$$E_{4v} = (E_{total} * A)^{1/2}$$

where A is the area over which the volume was calculated (table 2) and V is the volume calculated for a particular date. This equation produces a percent volume of the total estimated volume of the volume within the uncertainty range for that dataset. To determine the total uncertainty in the volume change calculation, the uncertainties for the two dates are summed:

$$Total\ Error = E_{4v1942} + E_{4v1994}$$

The total uncertainty for the volumes and sediment yields generated for this study range from a low of 9.8% to a high of 24.4% (table 2).

**SEDIMENT YIELD FROM COASTAL LANDSLIDES**

The results of the volumetric change analyses are shown in table 2. The area covered by each section, the slope-parallel length of total volume loss and the losses per linear extent of coast (sediment yield) are provided for each section. The sediment yield data are based on the geologic maps from Wills and others (2001). The average estimated sediment yield for the Coast Highway 1 corridor is approximately 21,000 m<sup>3</sup>/km/yr on the basis of the entire length of the study area. Sections 1 and 2, shown in figure 3A, have very low input rates for the coastline compared to other sections (table 2). Both sections 1 and 2 lay within the stronger granitic material. Section 6 and 7, shown in figure 3B, have moderately high input rates for the coastline compared to other sections (table 2). Section 4 lies within the stronger granitic material, and it has anomalously high input rates compared to the surrounding areas (study sections 3 and 5). This high rate is attributed to the large landslides that occurred in 1983 and is, therefore, within the 52-year time period of this analysis. Section 5, shown in figure 3C, has the lowest sediment yield in the Big Sur region, a total of nearly 20 million cubic meters of material was removed by both natural processes and slope stabilization. Sections 8 and 9, shown in the map of the southern section (fig. 3C), have the highest input rates for the coastline compared to other sections (table 2). The rocks along this section of coastline are faulted and sheared rocks of the Franciscan Complex and this section of the coast has a history of large, well-known landslides (Wills and others, 2001).

Owing to unresolvable non-systematic errors associated with the 1942 photographs, accurate 3-D models could not be created for the entire coast. The errors likely result from distortions in the original film (stretching and warping of old film) as well as radial distortions associated with lens distortions. The errors are most pronounced in areas of extreme relief, such as along much of the Big Sur coast. Because the sediment yield for the entire coastline could not be determined using the DTM differencing method described herein, the sediment yield is interpolated in areas of missing data by correlating the bedrock lithology and landslide density of the sediment delivery rates (fig. 4). The interpolation assumes sediment volumes will be similar (within an order of magnitude) in areas with similar underlying bedrock and density of historical landslides.

The sediment yield data vary significantly and range from 1,000 to 240 m<sup>3</sup>/km/yr in study section 1 (fig. 3A) to a high of 46,700 to 7,300 m<sup>3</sup>/km/yr in study section 8, north of the town of Gorda (fig. 3C). The variation in the delivery rates of sediment to the base of the slope appears to be closely related to the primary lithology within the area (fig. 4). In general, the lowest sediment yield is from the granitic rocks of the Sur Complex of Hall (1991) and the resistant sandstone of the Franciscan Complex, and the highest yield is in the highly sheared melange of the Franciscan Complex.

**Figure 3.** Maps of the Big Sur coast region showing sediment yield from coastal landslides and active slope distribution. A. Northern Big Sur coast area including study sections 1 and 2. B. Central Big Sur coast area including study sections 3-7. C. Southern Big Sur coast area including study sections 8 and 9. From north to south, maps are not exactly continuous from the base of one map to the top of the next. Small areas not displayed are areas with no active slopes and no continuous interpolated sediment yield.

**Maps Showing Estimated Sediment Yield From Coastal Landslides and Active Slope Distribution Along the Big Sur Coast, Monterey and San Luis Obispo Counties, California**

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